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## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<b>(21) International Application Number:</b> PCT/US97/03307 <b>(22) International Filing Date:</b> 26 February 1997 (26.02.97) <b>(30) Priority Data:</b> 08/612,510      7 March 1996 (07.03.96)      US <b>(71) Applicant:</b> CHEVRON CHEMICAL COMPANY [US/US]; P.O. Box 5047, San Ramon, CA 94583-0947 (US). <b>(72) Inventors:</b> CHING, Ta, Yen; 10 Santa Yorma Court, Novato, CA 94945 (US). GOODRICH, Joseph, L.; 3545 Wilkinson Lane, Lafayette, CA 94549 (US). KATSUMOTO, Kiyoshi; 2615 Brooks Avenue, El Cerrito, CA 94530 (US). <b>(74) Agents:</b> MICHEL, Marianne, H. et al.; Chevron Corporation, Law Dept., P.O. Box 7141, San Francisco, CA 94120-7141 (US).	<b>(81) Designated States:</b> AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ARIPO patent (GH, KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the</i> <i>claims and to be republished in the event of the receipt of</i> <i>amendments.</i>	
<b>(54) Title:</b> OXYGEN SCAVENGING SYSTEM INCLUDING A BY-PRODUCT NEUTRALIZING MATERIAL <b>(57) Abstract</b> <p>Provided is a system useful for oxygen scavenging which comprises at least two components, i.e., an oxygen scavenging material which forms at least one by-product upon reaction thereof with oxygen, and an effective amount of a neutralizing material capable of neutralizing at least a portion of these by-products. In a preferred application, a multi-layer structure that can be employed in producing packages and in particular food packages, comprises a first layer including an oxygen scavenging material and a second layer which includes a material that is capable of neutralizing at least a portion of the by-products produced by the oxidation of the oxygen scavenging material within the first layer. These two layers are arranged such that, upon formation of the package, the second layer is interior to the first layer. Furthermore, the multi-layer film can include one or more of an oxygen barrier layer, a polymeric selective barrier layer, and a heat-sealable layer.</p> <p style="text-align: right;">Serial No. 10/717,297 Ref. B4</p>		

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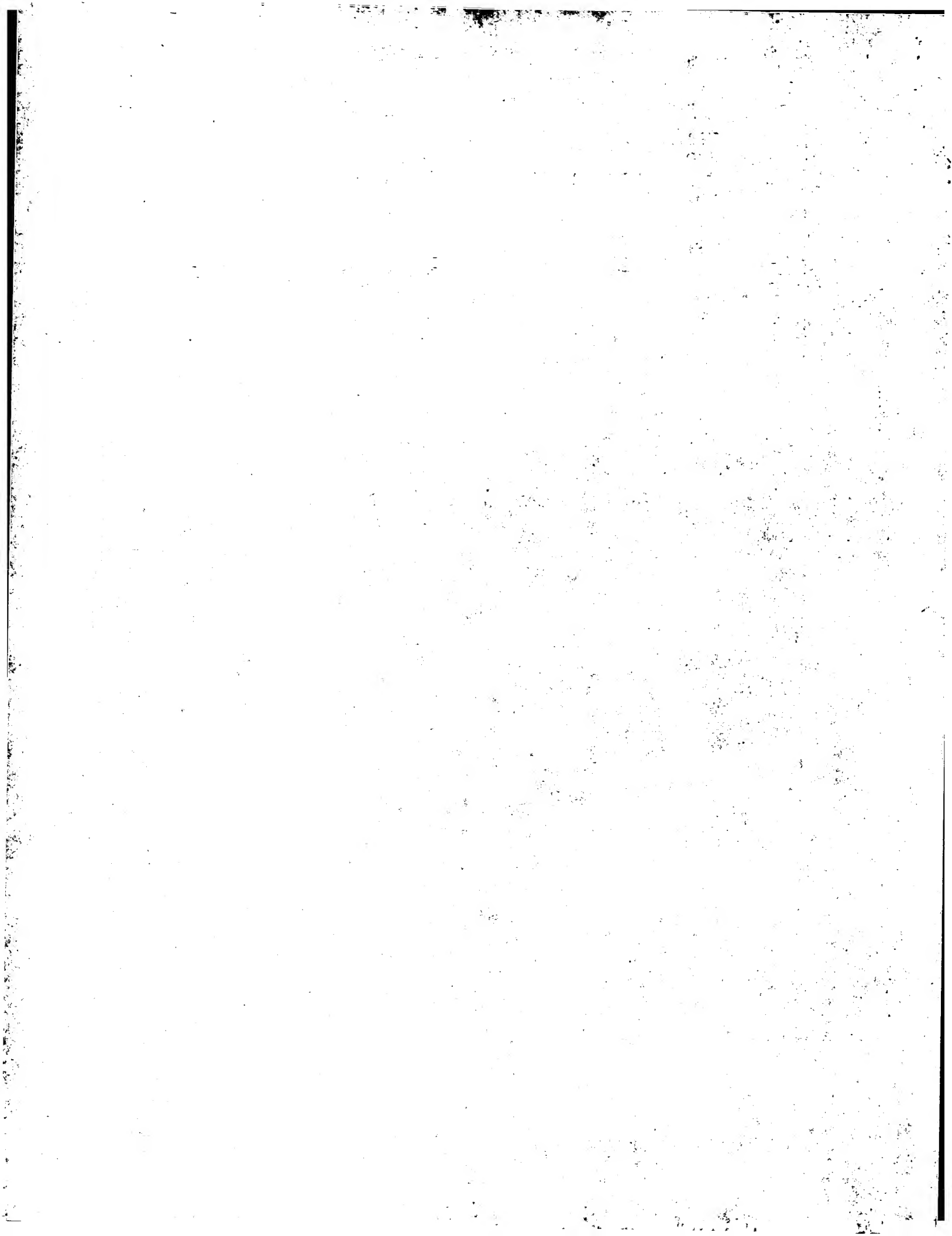
# INTERNATIONAL SEARCH REPORT

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1 OXYGEN SCAVENGING SYSTEM INCLUDING  
2 A BY-PRODUCT NEUTRALIZING MATERIAL

3  
4 BACKGROUND OF THE INVENTION

5  
6 The present invention relates to an improved oxygen scavenging system for use  
7 with oxygen sensitive materials, e.g., food, which system finds particular utility in  
8 the multi-layer films that includes an oxygen scavenging layer.

9  
10 Oxygen scavenging materials have been developed partly in response to the  
11 food industry's needs of having longer shelf-life and better flavor preservation for  
12 packaged food.

13  
14 These oxygen scavenging materials constitute at least a portion of the food  
15 package, and these materials remove oxygen which surrounds the food product,  
16 e.g., the residue oxygen in a package after sealing or air which has leaked into  
17 the package, thereby inhibiting spoilage of the food.

18  
19 One method which is currently being employed involves the use of "active  
20 packaging" where the food product package is modified in some way to control  
21 the exposure of the food product to oxygen. Such "active packaging" can  
22 include sachets containing compositions, e.g., iron-based compositions such as  
23 Ageless® which scavenges oxygen in the package through an oxidation  
24 reaction. However, sachets are not advantageous for a variety of reasons not  
25 the least of which is the problems caused by the accidental ingestion of the  
26 sachets or the material present therein.

27

1 Recent attempts have involved incorporating an oxygen scavenger into the  
2 package structure itself. In such an arrangement, oxygen scavenging materials  
3 constitute at least a portion of the food package. One example of such an  
4 arrangement comprises a scavenging wall which includes inorganic powders  
5 and/or salts. See, for example, European Applications 367,835; 366,245;  
6 367,390; and 370,802. However, such composition is difficult, if not impossible,  
7 to adequately process and thereby is not considered commercially feasible.  
8

9 In another more promising arrangement, oxygen scavenging materials can be  
10 low molecular-weight oligomers that are typically incorporated into processable  
11 packaging polymers or can be oxygen scavenging polymers in which either the  
12 backbone is designed to break apart when the polymer reacts with oxygen or in  
13 which, initially at least, pendant oxidizable side chains react with oxygen.  
14

15 Other methods which have been disclosed include that of European Patent  
16 Application 0454437, wherein an oxygen absorbent composition is disclosed.  
17 The composition contains a linear hydrocarbon polymer having one or more  
18 unsaturated groups or a mixture of linear hydrocarbon polymers having one or  
19 more unsaturated groups with an unsaturated fatty acid compound and an  
20 oxidation promoter as essential components. And, optionally, the composition  
21 can contain a basic substance and/or an adsorption substance.  
22

23 European Patent Application 0424855 discloses an inhibitor parcel comprising a  
24 composition containing an unsaturated fatty acid compound as its main  
25 ingredient and a permeable diffusing parceling material prepared by laminating  
26 and bonding an oxygen permeable resin layer onto one side of a base sheet  
27 made of a fibrous material and an adhesive, and then laminating and bonding  
28 thereunto an oxygen permeable resin layer, and then laminating and bonding a



1 porous film of a low softening point resin or a low softening point unwoven fabric  
2 onto the other side of the base sheet. The parcel is directed to preserving  
3 electronic devices and electronic parts and excluding oxygen as well as water.  
4

5 Systems have also been designed to control odors which can be generated by  
6 deterioration of the contents (food) being stored, or deterioration of the film  
7 packaging used in storing the contents. For example, in Japanese Kokai Patent  
8 No. HEI6-223925, published February 1, 1994, a deodorant packaging film is  
9 described. The packaging film is obtained by constructing a film having inner  
10 and outer surface layers of polypropylene resin that contains a polybutene or  
11 polyisobutylene, tackifier, and deodorant and constructing the middle layer from  
12 polyethylene terephthalate or a polyamide resin. The deodorant component of  
13 the polypropylene resin composition is described as being flavonoid deodorants,  
14 polyphenol components containing deodorants, deodorants that have turpentine  
15 oil as a major component, and deodorants based on organic acids.  
16

17 U.S. Patent No. 5,340,884 discloses a polyester/polyamide blend having an  
18 excellent gas barrier property and an improved flavor retaining property. In  
19 particular, the polyethylene terephthalate/low molecular weight polyamide blend  
20 reduces the concentration of acid aldehyde contained in the polyester, thereby  
21 rendering the blend more suitable for the storage of food.  
22

23 U.S. Patent No. 5,284,892 describes a system which is an aldehyde scavenging  
24 composition. Such compositions are useful in producing packaging films for oil  
25 containing foods, which give off aldehydes. The compositions comprises a  
26 polyalkylene imine and a polyolefin polymer. See also U.S. Patent  
27 No. 5,362,784.  
28

1 International Publication No. WO 93/01049 discloses a packaging composition  
2 that helps to extend shelf life of oil containing foods by absorbing undesirable  
3 aldehydes that are produced during oil degradation. The composition suggested  
4 to be used in absorbing the aldehydes are primary and/or secondary amine  
5 groups and strong inorganic bases.  
6

7 European Patent Application 0504726 discloses a food preserving agent which  
8 comprises an oxygen absorbent and a substance which is able to remove  
9 acetaldehyde.  
10

11 European Patent Application 0464782 is directed to a multi-layer thermoplastic  
12 film having a vinylidene chloride polymer barrier and at least a polyolefin second  
13 layer laminated to the barrier. Upon irradiation of the barrier layer, odor is  
14 generated due to ionizing irradiation of the barrier layer. Hydrotalcite is blended  
15 with the polyolefin in order to substantially reduce the odor generated by the  
16 vinylidene chloride copolymer barrier.  
17

18 Japanese Kokai HEI5-247276 discloses an oxygen barrier resin composition.  
19 The oxygen barrier resin composition comprises a polyolefin, an oxidation  
20 catalyst and an odor absorbent. The odor absorbent is used to suppress the  
21 odor caused by oxidation of the polyolefin. As suitable absorbents, there are  
22 mentioned natural zeolite, synthetic zeolite, silica gel, activated carbon,  
23 activated clay, activated aluminum oxide, magnesium silicate, aluminum silicate  
24 and the like. Synthetic hydrotalcite type compounds can also be used.  
25

26 A major problem that still needs to be addressed, however, is that a wide variety  
27 of organic compounds are produced upon oxidation of the oxygen scavenging  
28 material. Many of these oxidation products can migrate from the layer carrying

1 an oxygen scavenging material and enter the air surrounding the food or even  
2 enter the food itself.

3

4 Such oxidation products can have foul odors or can even be compounds that are  
5 undesirable. It is therefore highly desirable to provide a way to prevent such  
6 oxidation products from entering a packaged volume that contains food.

7

8 One attempt to solve the problem of migration of oxidation products involves the  
9 use of a composition comprising two layers, where one layer carries an oxygen  
10 scavenging material and one layer is a barrier situated between the packaged  
11 volume and the layer carrying an oxygen scavenging material. See U.S.  
12 Application Serial No. 08/304,303, filed September 12, 1994, which is  
13 incorporated herein by reference for all purposes.

14

15 One problem with this approach is that many barriers are capable of blocking the  
16 migration of molecules of certain sizes, but they fail in blocking very small  
17 organic molecules. On the other hand, there are good barriers which are  
18 effective to block the migration of small oxidation products into, e.g., the  
19 enclosed volume of the package, but they also slow the oxygen migrating from  
20 the enclosed volume to the organic scavenging material.

21

22 Other multi-layer film packaging materials are also recognized within the art.  
23 Such films can include, in addition to the layers discussed above, a "polymeric  
24 selective barrier layer" such as that described in U.S. Application Serial  
25 No. 08/304,303, filed September 12, 1994. While such selective barrier layers  
26 can prevent the migration of certain, in fact many, of the undesirable oxidation  
27 products, certain by-products, and in particular, those having a smaller

1 molecular size may not be effectively blocked. This is particularly true for those  
2 by-products which are of a similar or slightly larger size to gaseous oxygen.  
3

4 Thus, the need still exists for an improved system for protecting oxygen sensitive  
5 materials, and in particular a system applicable to multi-layer film for use in food  
6 packaging which is capable of neutralizing such molecules, thereby reducing  
7 those problems associated with these by-products as discussed above.  
8

### 9 SUMMARY OF THE INVENTION

10  
11 Among other aspects, this invention is based upon the surprising discovery that  
12 an oxygen scavenging system, particularly one useful in films, can be improved  
13 through the use of oxidation by-product neutralizing materials in a separate  
14 layer. By a "neutralizing" material is meant a material which can react with,  
15 complex with and overall eliminate the troublesome oxidation by-product  
16 compound. Where the by-product is an acid, it can be neutralized as in an  
17 acid/base reaction by the neutralizing material. Where the by-product is not  
18 acid, the compound can be neutralized by other understood chemical  
19 interactions with the neutralizing material of the present invention. Therefore,  
20 the term "neutralize", in the context of the present invention, is intended to be  
21 broader than the literal acid/base reaction.  
22

23 In one aspect, the present invention relates to a multi-layer structure comprising:  
24  
25 a first layer which includes an oxygen-scavenging material which react with  
26 oxygen by way of an oxidation reaction; and  
27

1 a second layer including an effective amount of a neutralizing material capable  
2 of neutralizing at least a portion of the by-products of the oxidation reaction.  
3 Moreover, these two layers are preferably arranged such that the second layer is  
4 located between the first layer and the interior of the package.

5

6 Moreover, this multi-layer structure preferably further includes an oxygen barrier  
7 layer located outside of the first layer, and/or a polymeric selected barrier layer  
8 which is also located inside the first layer.

9

10 The oxygen scavenging system according to the present invention is preferably  
11 employed in a packaging environment which packaging holds an oxygen  
12 sensitive material. Such materials can include food, cosmetic/beauty materials  
13 and other chemicals, as well as electronic materials.

14

15 In the present invention, the oxygen scavenging material is preferably an organic  
16 oxygen scavenging material while the neutralizing material is preferably selected  
17 from the group consisting of inorganic bases and organic bases.

18

#### 19 BRIEF DESCRIPTION OF THE DRAWING

20

21 The figure of the Drawing illustrates one embodiment of a multi-layer film  
22 according to the present invention.

23

#### 24 DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

25

26 As discussed above, the oxygen scavenging system of the present invention  
27 includes two components contained in separate layers, i.e., an oxygen

1 scavenging material, and an oxidation by-product neutralizing material. Each of  
2 these two components will now be discussed in more detail.

3

4 The first component, the oxygen scavenging material, may be any oxygen  
5 scavenging material recognized in the art. Suitable examples of such materials  
6 include unsaturated organic compounds such as carotene, ascorbic acid,  
7 squalene, or dehydrated castor oil. Suitable materials are also disclosed in  
8 EP 0 507 207, which is incorporated by reference in its entirety herein.

9

10 The oxygen scavenging material is maintained in layers separate from the  
11 neutralizing material layer. This is important, as it has been found that a mixing  
12 of the two components can cause deleterious effects on the oxygen scavenging  
13 properties of the oxygen scavenger, thereby defeating the entire purpose of the  
14 system. The two components are thereby maintained in different layers, and  
15 surprisingly offers excellent oxygen scavenging properties as well as protection  
16 for the packaged material from the oxidation by-products.

17

18 This scavenging compound may be introduced in a variety of manners,  
19 depending on the ultimate use of the system. For example, it may be blended  
20 with a carrier, e.g., polymer, which itself may or may not scavenge oxygen, or it  
21 may be coated onto a material such as aluminum foil or paper or even be  
22 incorporated into a material such as paper. The oxygen scavenging material  
23 may be in localized areas on a layer, e.g., the oxygen scavenging material may  
24 be in a patch that is laminated to another layer in a multi-layer arrangement.

25

26 The oxygen scavenging material can further be a polymer having oxidizable  
27 sites in the polymer and containing a catalyst such as a transition metal salt that

1 assists initiation of oxidation of the oxidizable sites. Such a material is  
2 particularly useful when the scavenging material forms a layer of a film.

3

4 Examples of polymers having oxidizable sites include polybutadiene or other  
5 polymers containing unsaturated sites, such as disclosed in U.S. Pat.  
6 No. 5,211,875; poly(meta-xylenediamine-adipic acid) (also known as MXD-6),  
7 disclosed in U.S. Pat. Nos. 5,021,515 and 5,049,624 and EP 0 519 616; and  
8 poly(ethylene- methyl acrylate-benzyl acrylate), disclosed in U.S. Ser.  
9 No. 08/091,120, filed August 12, 1993, inventors T. Ching, K. Katsumoto,  
10 S. Current and L. Theard, each of which is incorporated by reference in its  
11 entirety herein.

12

13 For sake of completeness, poly(ethylene-alkyl acrylate-benzyl acrylate) can be  
14 made, e.g., by solution transesterification. An ethylene-alkyl acrylate copolymer  
15 such as ethylene-methyl acrylate copolymer is dissolved in an appropriate  
16 solvent such as decalin, and heated to and maintained at reflux in the presence  
17 of an effective amount of a transesterification catalyst, such as tetraethyl titanate  
18 or di-butyl tin laureate, and an alcohol containing a benzyl radical, such as  
19 benzyl alcohol. The solution is then cooled, and the polymer is precipitated in  
20 methanol and dried in a vacuum oven.

21

22 An effective amount of a transition metal salt catalyst such as cobalt  
23 neodecanoate is incorporated into the precipitated polymer by melting the  
24 polymer in, for example, an extruder, and mixing the salt dissolved in a solvent  
25 such as hexane into the polymer melt.

26

27 The transesterification above may also occur using a melted ethylene-alkyl  
28 acrylate copolymer in a reactive extruder maintained at transesterification

1 conditions and in the presence of an effective amount of a transesterification  
2 catalyst and an alcohol containing a benzyl radical. The above-described  
3 process can be used to transesterify ethylene alkyl acrylate copolymers to yield  
4 compounds suitable for use as neutralizing amine-containing polymers in this  
5 invention.

6  
7 The transition-metal salt that assists initiation or catalyzes the oxidation of the  
8 oxidizable sites generally comprises an element chosen from the first, second  
9 and third transition series of the periodic table of the elements. This transition-  
10 metal salt is in a form which facilitates or imparts scavenging of oxygen by the  
11 afore-described polymers. It is generally believed that the transition-metal salt is  
12 in an ionic state such that the transition element can readily inter-convert  
13 between at least two oxidation states.

14  
15 Suitable transition-metal elements include, but are not limited to, manganese II  
16 or III, iron II or III, cobalt II or III, nickel II or III, copper I or II, rhodium II, III or IV,  
17 and ruthenium. The oxidation state of the transition-metal element when  
18 introduced into the composition is not necessarily that of the active form. It is  
19 only necessary to have the transition-metal element in its active form at or  
20 shortly before the time that the composition is required to scavenge oxygen.

21  
22 The transition-metal element is preferably iron, nickel or copper, more preferably  
23 manganese and most preferably cobalt.

24  
25 Suitable counter-ions for the transition metal element are organic or inorganic  
26 anions. These include, but are not limited to, chloride, acetate, oleate, linoleate,  
27 caprylate, stearate, palmitate, 2-ethylhexanoate, citrate, glycolate, benzoate;  
28 neodecanoate or naphthenate. Organic anions are preferred.



1

2   Preferable salts include cobalt (II) 2-ethylhexanoate, and cobalt benzoate. More  
3   preferable salts include cobalt (II) neodecanoate, cobalt (II) oleate, cobalt (II)  
4   linoleate, and cobalt (II) caprylate.

5

6   The transition-metal element may also be introduced as an ionomer, in which  
7   case a polymeric counter-ion is employed. Such ionomers are well known in the  
8   art. See U. S. Patent No. 3,264,272, which is incorporated by reference in its  
9   entirety.

10

11   The oxygen scavenging material comprised of a polymer and transition metal  
12   salt contains a sufficient quantity of the transition-metal salt to promote oxygen  
13   scavenging in the polymer. Generally, this requires a ratio of moles to benzyl  
14   radicals to moles of transition-metal element between about 2000:1 to about  
15   10:1. Preferably, this molar ratio is between 200:1 and 20:1. The preferred  
16   amount of transition-metal element will typically vary with which transition-metal  
17   salt is used.

18

19   As discussed above, the oxygen scavenging material may be introduced into the  
20   system by any of a variety of techniques. For example, in forming the multi-layer  
21   structure, it can be blended into a composition for forming a layer of the structure  
22   or laminated or sprayed onto the formed layer, and/or may be a layer itself.

23

24   In particular, the oxygen scavenging material may be coated onto a polymer  
25   layer or onto a multi-layer structure, in which case the oxygen scavenging  
26   material normally forms its own layer. The particular method of introduction  
27   selected is dependent upon the particular scavenging material employed.

28

1 The oxygen scavenging material is preferably present in an amount sufficient to  
2 scavenge at least 0.1 cc O<sub>2</sub>/gram of oxygen scavenging material/day.  
3 Preferably, it is capable of scavenging at least about 0.5, and more preferably at  
4 least about 1 cc O<sub>2</sub>/gram of oxygen scavenging material/day.

5  
6 As discussed above, the oxygen scavenging material, upon consumption of  
7 oxygen, typically produces certain by-products, e.g., volatile organic oxidation  
8 products. Examples of these oxidation by-products include carboxylic acids,  
9 such as acetic, propionic, butyric, valeric and benzoic acids; aldehydes, such as  
10 heptanal and benzaldehyde; ketones, such as acetone and methyl ethyl ketone;  
11 esters, such as methyl formate; alcohols, and the like. These by-products can  
12 cause problems.

13  
14 However, the second component present in the oxygen scavenging system is a  
15 material capable of neutralizing at least a portion of the oxidation by-products  
16 produced from the reaction of oxygen with the oxygen scavenging material.  
17 Generally, the neutralizing material in the second layer comprises an acid-,  
18 alcohol- or aldehyde-reactive material. The particular material selected is  
19 dependent upon the scavenging material employed and the by-products whose  
20 migration needs to be controlled.

21  
22 In particular, two types of materials have found particular utility within the  
23 present invention as being appropriate for efficient use in a separate layer,  
24 inorganic bases and organic bases.

25  
26 Inorganic bases include metal oxides, hydroxides, and carbonates of Group IA  
27 and IIA elements. Examples of suitable inorganic bases include calcium  
28 carbonate, calcium hydroxide, potassium bicarbonate, and calcium oxide.

1  
2 Organic bases can include any organic amine compounds including  
3 amine-containing polymers, and preferably polyamine compounds. Organic  
4 amine compounds are broadly defined as organic compounds containing at least  
5 one amine group. Amine-containing polymers are defined as organic polymers  
6 containing at least one amine group and includes grafting an amine group onto  
7 the main polymer chain. Polyamine compounds are defined as any organic  
8 polymer containing at least one amine group in each repeating unit. Preferably,  
9 the organic amine compound is a non-volatile, non-migratory compound, e.g., it  
10 does not migrate in the polymer system used.

11  
12 Examples of suitable organic amine compounds include dipropylenetriamine;  
13 tris(3-aminopropylene)amine, N,N,N',N'-tetrakis(3-aminopropyl)ethylenediamine  
14 and 1,12 dodecanediamine. Examples of amine-containing polymers include  
15 glycols containing amine groups such as polyethylene glycol with two amines  
16 and polypropylene glycol with two amines, available from Texaco as Jeffamine;  
17 and dimethylaminoethanol grafted ethylene-methyl acrylate copolymers.  
18 Examples of polyamines include pentaethylene hexamine (PEHA); triethylene  
19 tetraamine; polyvinyl oxazoline; and similar higher molecular weight compounds.  
20 Such polymers can be used alone to form a film or can be reacted, blended, or  
21 mixed with a film forming polymer.

22  
23 The neutralizing material is typically introduced into a thermoplastic resin such  
24 as polyethylene, ethylene vinyl acetate, or ethylene methyl acrylate, in order to  
25 improve its ease of processing. However, as long as it is in a form capable of  
26 being introduced into the system and which form does not interfere with its  
27 neutralizing functions, the method of introduction employed is not critical to the  
28 present invention.

1  
2 The neutralizing materials are present in an amount which is effective to remove  
3 at least a portion of the oxidation by-products or prevent such products from  
4 passing through. Preferably, it is present in an amount to significantly reduce or  
5 eliminate migration of such by-products.  
6

7 When employed with thermoplastic resins as discussed above, an effective  
8 amount of the neutralizing material is typically from about 0.05-40% by weight  
9 based on the second layer, depending on the particular neutralizing agent. For  
10 example, where organic bases such as polyamine compounds are employed, the  
11 preferred amount is between about 0.05 and 40% by weight based on the  
12 second layer.  
13

14 The exact arrangement of the layers of the two components is not critical to the  
15 present invention as long as the oxidation by-products will come into contact  
16 with the neutralizing material and thereby have their migration controlled.  
17

18 It is preferred that the two layers be adjacent to each other. When used in a  
19 package, it is preferable that the neutralizing material be positioned between the  
20 contents of the package and the oxygen scavenging material, and therefore  
21 interim to the oxygen scavenging containing layer.  
22

23 In one particular embodiment of the present invention, the two components are  
24 present in separate layers of a multi-layer film. The multi-layer structure of the  
25 present invention includes at least two layers:  
26

27 (a) a first layer comprising an oxygen scavenging material; and  
28

1 (b) a second layer comprising at least one oxidation by-product neutralizing  
2 material.

3

4 The multi-layer structure can include more than one of these neutralizing layers.  
5 Although the arrangement of these layers is not critical to the present invention,  
6 in order to improve its efficiency in preventing the migration of by-products into  
7 the interior of the package, as mentioned above, the second layer should be  
8 located between the first layer and the interior of the package.

9

10 Preferably, this second layer is provided in conjunction with one or more  
11 additional layers, e.g., oxygen barrier layers or polymeric selective barrier layers  
12 that also serve to aid in preventing the undesirable migration of the by-products  
13 into the package.

14

15 Such layers can include one or more of:

16

17 (1) An oxygen barrier layer which is typically an "outside" layer and comprises  
18 a material which effectively functions as a physical barrier to oxygen, thus  
19 minimizing or even eliminating any diffusion of oxygen into the resulting  
20 package. The presence of such an oxygen barrier can supplement the  
21 efforts of the neutralizing materials and therefore may reduce the amount  
22 of neutralizing material needed.

23

24 Although well recognized in the art, such layers typically have an OTR  
25 (oxygen transmission rate) of no more than about 1 cc O<sub>2</sub>/100 in.<sup>2</sup> of  
26 oxygen barrier layer/day/atm.

27

1 (2) A polymeric selective barrier layer as mentioned previously.

2

3 For sake of completeness, the polymeric selective barrier layer functions  
4 as a selective barrier to certain oxidation products but not to oxygen. In  
5 one preferred embodiment, a layer is considered to be a polymeric  
6 selective barrier layer when it prevents at least about half of the number  
7 and/or amount of oxidation products having a boiling point of at least about  
8 75°C from passing through the polymeric selective barrier layer from the  
9 layer carrying the organic oxygen-scavenging material.

10

11 Polymeric selective barrier layers are typically located between the oxygen  
12 scavenging layer and the "inside" of the resulting package to prevent  
13 migration of the oxidation products into the package.

14

15 In fact, although the exact arrangement of these by-product blocking layers  
16 is not critical to the present invention, it is preferred that both the second  
17 neutralizing material layer(s), 4, and polymeric selective barrier layer(s), 3,  
18 be located between the oxygen scavenging layer, 2, and the interior of the  
19 package. Further, the oxygen barrier layer, 1, is typically located exterior  
20 of the oxygen scavenging layer. Such an arrangement is illustrated in the  
21 drawing figure.

22

23 (3) As further illustrated in the figure, the multi-layer film according to the  
24 present invention can further include a sealing layer, 5, which is preferably  
25 the innermost layer relative to the package formed therefrom. This layer  
26 preferably comprises a heat sealable material.

27

1    Optionally, where, for example, the second layer is the innermost layer, the heat  
2    sealable material can be further included within the second layer containing the  
3    neutralizing materials.

4

5    The multi-layer film according to the present invention can be produced by  
6    conventional techniques, e.g., melt extrusion, co-extrusion, or lamination, which  
7    are well recognized in the art. As such, they need not be described in detail  
8    here.

9

10   Moreover, the multi-layer film according to the present invention can be  
11   preferably employed in the production of packages, e.g., both rigid and flexible  
12   food packages, in the same manner as traditional multi-layer films. In a  
13   preferred embodiment, the packaging and multi-layer film is UV transparent, in  
14   order to allow sufficient UV radiation to be transmitted to the preferred oxygen  
15   scavenging materials of the present invention. The preferred oxygen  
16   scavenging materials are UV activated and therefore a UV transparent package  
17   is critical to the system working. It is also preferred, particularly for food  
18   packaging, that the package be optically clear.

19

20   The use of the neutralizing material in accordance with the present invention is  
21   capable of removing a variety of the oxidation by-products produced by the  
22   oxygen scavenging layer, particularly those odorous by-products. It is important,  
23   however, that the neutralizing material of the present invention be kept in a layer  
24   separate to that of the oxygen scavenging material in order to avoid inhibition of  
25   the oxidation reaction. The efficiency of the present invention in removing these  
26   by-products is particularly apparent when employed in combination with the  
27   polymeric selective barrier layer in a multi-layer film.

28

1 The oxygen scavenging system of the present invention can find broad utility  
2 with a variety of oxygen sensitive materials. Such materials include, in addition  
3 to food, cosmetics and beauty products, other oxygen sensitive chemicals and  
4 electronic materials.

5  
6 For example, in a packaging environment, in addition to being present as a film  
7 which forms at least a portion of the package, it can be found in virtually any part  
8 of a packaging material which will come into contact with the interior of the  
9 package. Such environments include but are not limited to cap liners for bottles  
10 and the like, trays, e.g., those trays used in the food industry, packaging  
11 materials for cosmetic or other materials in the beauty industry, as well as other  
12 chemical environments.

13  
14 The present invention will now be discussed in terms of certain examples, it  
15 being understood that such examples are solely illustrative in nature and in no  
16 way limit the present invention.

17  
18 EXAMPLES

19  
20 Examples 1-4

21  
22 Examples 1-4 are of three layer structures having a A/B/A arrangement at 1:1:1  
23 thickness with a total thickness of 3 mils. In each case, A is ethylene methyl  
24 acrylate copolymer, EMAC® SP-2260, with the named additive, and B is  
25 ethylene methyl acrylate benzyl acrylate copolymer containing 1000 ppm of  
26 cobalt in the form of cobalt neodecanoate.



1

Example	Additive	Odor
1 Control	None	acetic
2	2% PEHA polyamine	weak acetic with amine smell
3	0.5% PEHA polyamine	-----
4	2% calcium carbonate	weaker acetic

2

3 All films were irradiated for 5 minutes under a UV blackray (250 nm) at a 5-inch  
4 distance. The oxygen scavenging resins were sealed in one-liter size bottles  
5 and oxygen uptakes were monitored by Mocon 710 oxygen meter for 30-40 days  
6 using 2 gram samples. At the end of the oxygen uptake of about 100 cc/gram  
7 resins, the bottles were opened and odor evaluated by a panel of at least 3  
8 people. The results are set forth in the foregoing table.

9

10 Based upon the foregoing results, it can be concluded that a small amount of  
11 neutralizing agent in a separate layer is able to significantly reduce undesirable  
12 acetic smell after the products were extensively oxidized. Since a polymer  
13 selective barrier can be inserted and real application conditions involve reduced  
14 oxygen (<2% O<sub>2</sub> after nitrogen flush compared to 20% in the present examples),  
15 the present invention is expected to significantly reduce by-product odor.

16

Example 5

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A multi-layer film construction was made having from the outer most layer to the inner most layer (i.e., that to be generally adjacent to foods) the following layers:

(1) a 0.16 mil thick aluminum foil oxygen barrier layer;

(2) a 1 mil thick EBZA oxygen scavenging polymer with 750 ppm of Cobalt in the form of cobalt neodecanoate salt;

(3) a 0.5 mil thick oriented PET functional barrier layer; and

(4) a 1.0 mil thick EMAC 2205 containing 2% of calcium carbonate as the neutralization layer as well as heat seal layer.

The films were UV irradiated from the inside layer out as described in accordance with Examples 1-4 and 9" by 9" bags are made by heat sealing two pieces of the multilayer construction together. The bags were then inflated to contain 1 liter fresh air by a syringe. The oxygen content was monitored by a Mocon 710 oxygen meter.

At the end of 4 weeks, 80% of the oxygen was consumed and the bag was opened slowly for odor evaluation as described in accordance with Examples 1-6.

A control construction containing identical layers, except no calcium carbonate was used in the EMAC layer, was also tested. The control gave a strong acidic smell. The opened bag of the present invention gave no detectable acidic odor.

1

2 This example further demonstrates the effectiveness of the present invention in  
3 controlling odor even though the neutralizer layer is separate from the oxygen  
4 scavenging polymer.

5

6

#### Example 6

7

8 This example demonstrates the use of an amine-containing polymer in odor  
9 removal. Ester exchange of EMAC with N,N-dimethylethanolamine was  
10 conducted in a twin screw extruder by reacting 4.5 Kg/hr EMAC® SP 2260  
11 containing 24% by weight methylacrylate and having a melt index of 2,  
12 1.13 Kg/hr N,N-dimethylethanolamine, and 45 cc/hr titanium tetraethoxide at  
13 210°C. The conversion was 22.1% to N,N-dimethylethyl ester of acrylate.  
14

15 A two-layer film structure with a total thickness of 4-5 mil was prepared by  
16 coextrusion of the above amine-containing polymer and EBZA (47.2%  
17 conversion) containing 1000 ppm cobalt, in the form of cobalt neodeconate. The  
18 two-layer film was aged in a one-liter bag (21% oxygen) at room temperature for  
19 about one month. The bag was opened and the odor compared by a three-judge  
20 panel. The odor was slightly less acidic than the monolayer film without the  
21 amine-containing polymer.

22

23 Principles, preferred embodiments, and modes of operation of the present  
24 invention have been described by the foregoing. The invention is not to be  
25 limited by particular embodiments disclosed since they are only illustrative in  
26 scope.

27

- 1 Various modifications, substitutions, omissions, and the like, may be made
- 2 without departing from the spirit of the invention. Accordingly, it is intended that
- 3 the scope of the present invention be limited solely by the scope of the following
- 4 claims including equivalents thereof.

## 1 WHAT IS CLAIMED IS:

2

3 1. A multi-layer structure useful in the packaging of an oxygen sensitive  
4 material, the structure comprising:

5

6 (a) a first layer comprised of an oxygen scavenging material which forms  
7 at least one by-product upon the reaction thereof with oxygen; and

8

9 (b) a second layer separate from the first comprised of an effective  
10 amount of neutralizing material capable of neutralizing by-products  
11 formed upon the reaction of an oxygen scavenging material with  
12 oxygen.

13

14 2. The multi-layer structure of claim 1, wherein the structure is UV transparent  
15 and optically clear.

16

17 3. The multi-layer structure of claim 1, wherein the structure has more than  
18 two layers.

19

20 4. The multi-layer structure of claim 1, wherein the structure further comprises  
21 an oxygen barrier layer.

22

23 5. The multi-layer structure of claim 1, wherein the structure further comprises  
24 a selective barrier layer.

25

26 6. The multi-layer structure of claim 1, wherein the second layer is interior to  
27 the first layer.

28

- 1 7. The multi-layer structure of claim 1, wherein the oxygen scavenging  
2 material comprises an organic oxidizable material.  
3
- 4 8. The multi-layer structure of claim 1, wherein the oxygen scavenging  
5 material comprises a polymeric material having oxidizable sites.  
6
- 7 9. The multi-layer structure of claim 8, wherein the oxygen scavenging  
8 material further comprises a transition-metal salt catalyst.  
9
- 10 10. The multi-layer structure of claim 9, wherein the transition-metal salt  
11 catalyst is cobalt (II) neodecanoate, cobalt (II) oleate, cobalt (II) linoleate,  
12 or cobalt (II) caprylate.  
13
- 14 11. The multi-layer structure of claim 1, wherein the neutralizing material is  
15 selected from the group consisting of inorganic bases and organic bases.  
16
- 17 12. The multi-layer structure of claim 11, wherein the organic base comprises  
18 an organic amine compound.  
19
- 20 13. The multi-layer structure of claim 1, wherein the second layer further  
21 comprises a thermoplastic resin.  
22
- 23 14. The multi-layer structure of claim 1, wherein the neutralizing material in the  
24 second layer comprises an acid-, alcohol- or aldehyde-reactive material.  
25
- 26 15. The multi-layer structure of claim 14, wherein the neutralizing material  
27 comprises an inorganic base or an organic amine compound.  
28

- 1 16. The multi-layer structure of claim 15, wherein the neutralizing material is  
2 selected from the group consisting of calcium oxide, calcium hydroxide,  
3 potassium bicarbonate and calcium carbonate.  
4
- 5 17. The multi-layer structure of claim 15, wherein the organic amine comprises  
6 an amine-containing polymer.  
7
- 8 18. The multi-layer structure of claim 15, wherein the organic amine compound  
9 comprises a polyamine compound.  
10
- 11 19. The multi-layer structure of claim 1, wherein the structure further comprises  
12 an oxygen barrier layer which is located outside of the first layer and a  
13 polymeric selective barrier layer which is located interior to the first layer.  
14
- 15 20. The multi-layer structure of claim 19, wherein the structure further  
16 comprises a seal layer which is the innermost layer of the structure.  
17
- 18 21. The multi-layer structure of claim 19, wherein the oxygen scavenging  
19 material is selected from the group consisting of organic oxidizable  
20 materials and polymeric materials having oxidizable sites, and the  
21 neutralizing material is selected from the group consisting of inorganic  
22 bases and organic bases.  
23
- 24 22. The multi-layer structure of claim 19, wherein the organic base comprises a  
25 polyamine compound.  
26

- 1 23. The multi-layer structure of claim 22, wherein the polyamine compound is  
2 selected from the group consisting of pentaethylene hexamine, triethylene  
3 tetraamine, and polyvinyl oxazoline.  
4
- 5 24. The multi-layer structure of claim 19, wherein the inorganic base is  
6 selected from the group consisting of calcium oxide, calcium hydroxide,  
7 and calcium carbonate.  
8
- 9 25. A package suitable for holding an oxygen scavenging material comprising  
10 a multi-layer structure, wherein the multi-layer structure is that structure  
11 according to claim 1.  
12
- 13 26. The package according to claim 25, wherein the structure further  
14 comprises an oxygen barrier layer which is located outside of the first layer.  
15
- 16 27. The package according to claim 25, wherein the structure further  
17 comprises a polymeric selective barrier which is located inside of the first  
18 layer.  
19
- 20 28. The package according to claim 25, further comprising a polymeric  
21 selective barrier layer which is between the first and the second layer.  
22
- 23 29. The package according to claim 25, further comprising at least one of a  
24 chemical material, or an electronic component located therein.  
25
- 26 30. The package according to claim 25, further comprising a food product or  
27 cosmetic product located herein.  
28

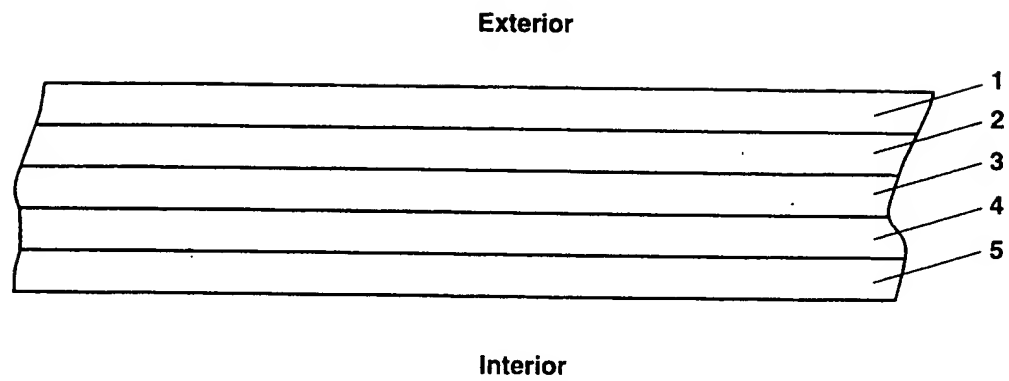


- 1 31. The package according to claim 25, wherein the multi-layer structure is a  
2 film which forms at least a portion of the package.  
3
- 4 32. The package according to claim 25, wherein the multi-layer structure is a  
5 film which is present within the package.  
6
- 7 33. The package according to claim 25, wherein the package, together with a  
8 multi-layer structure, is optically clear and UV transparent.  
9
- 10 34. An article for packaging an oxygen sensitive product comprising an oxygen  
11 barrier and a non-integral packaging component selected from the group  
12 consisting of coatings, bottle cap liners, adhesive or non-adhesive inserts,  
13 sealants, gaskets and fibrous mat inserts, wherein the non-integral  
14 packaging component comprises an oxygen scavenger and a by-product  
15 absorber.



1/1

FIGURE 1





# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 97/03307

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 6 B32B27/18 B65D81/26 A23L3/34

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
IPC 6 B32B B65D

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 0 638 487 A (TOYO SEIKAN KAISHA LTD) 15 February 1995	1,3,4,6, 13,25, 26,31,32
	see page 2, line 5 - line 9; claims; figures 3,4	
Y	see page 3, line 22 - page 5, line 8 see page 7, line 51 - page 8, line 19	2,5, 7-12, 14-24, 27-30, 33,34
	--- -/--	

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

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Date of the actual completion of the international search

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# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 97/03307

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	WO 93 01049 A (FRITO LAY INC ;DU PONT (US)) 21 January 1993 cited in the application see page 3, paragraph 3 - page 4, paragraph 1; claims; table 1 see page 5, last paragraph - page 6, paragraph 1	11,12, 14-18, 20-24
Y	US 5 211 875 A (SPEER DREW V ET AL) 18 May 1993 cited in the application  see column 3, line 36 - line 39; claims see column 4, line 44 - column 5, line 12 see column 7, line 58 - column 8, line 60	2,5, 7-10,19, 21, 27-30, 33,34
A	DATABASE WPI Section Ch, Week 9519 Derwent Publications Ltd., London, GB; Class A92, AN 95-143805 XP002035800 & JP 07 067 594 A (TOYO SEIKAN KK) , 14 March 1995 see abstract	1,3,4, 25,30-32
A	EP 0 464 782 A (VISKASE CORP) 8 January 1992 cited in the application see page 2, line 3 - line 6; claims 1,8,10,15 see page 2, line 29 - line 44	1
P,A	EP 0 720 821 A (SUMITOMO CHEMICAL CO) 10 July 1996 see page 2, line 48 - line 50; claims 1,4,10,18,19 see page 4, line 9 - line 11 see page 4, line 39 - line 52	1,3